



BOCA WEALTH
ADVISORS

Firm Brochure Supplement

(Part 2B of Form ADV)

Boca Wealth Advisors, LLC

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Boca Raton, FL 33432

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Item 1 - Cover Page



ARTHUR J. CANTER

Certified Public Accountant/Personal Financial Specialist

CERTIFIED FINANCIAL PLANNER™¹

Founding Partner and President

Boca Wealth Advisors, LLC

400 S. Dixie Hwy, Suite 322, Boca Raton, FL 33432

(561) 391-4477

E-mail: art@Boca-Wealth.com

December 1, 2024

This Brochure Supplement provides information about Arthur J. Canter that supplements the Boca Wealth Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Arthur J. Canter, President, if you did not receive Boca Wealth Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Arthur J. Canter is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Art is the President and Founding Member of Boca Wealth Advisors, LLC. He began offering Investment Advisory services to the clients of his established CPA practice in the mid-1990s and formed this firm in 1998. As President of the firm, Art's duties include portfolio management, design of the firm's asset allocation strategies, and one-on-one communication with clients.

AREAS OF EXPERIENCE

- Investment advisory services
- Income Tax Planning and compliance
- Retirement Plan design and administration
- Estate Tax Planning and compliance
- Financial Planning, including design and implementation

¹ To understand the requirements for professional designations please see the attachment following this section entitled "PROFESSIONAL DESIGNATIONS."

EDUCATION

- BS Accounting, Florida Atlantic University, 1976
- MBA, Florida Atlantic University, 1977

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 - Other Business Activities

Arthur J. Canter, CPA/PFS, CFP® is a partner in an independent public accounting practice, Boca Wealth CPAs, LLC. In many relationships, clients of Boca Wealth Advisors, LLC employ Boca Wealth CPAs, LLC to perform accounting and tax services. In our opinion, this relationship enhances our abilities to manage client investment portfolios. There is no requirement for clients of Boca Wealth Advisors, LLC to employ Boca Wealth CPAs, LLC for any accounting or tax services, nor is the focus of Boca Wealth CPAs, LLC to use Boca Wealth Advisors, LLC to enhance its practice of public accounting.

Item 5 - Additional Compensation

There is no information to be reported under this Item.

Item 6 - Supervision

As President, Arthur J. Canter, has the authority to undertake any and all activities on behalf of clients. He works closely with on a day-by-day basis with Alexandra B. Demosthenes who is responsible for his supervision.

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ALEXANDRA B. DEMOSTHENES

Certified Public Accountant/Personal Financial Specialist

CERTIFIED FINANCIAL PLANNER^{TM 1}

Partner and Senior Wealth Advisor

Boca Wealth Advisors, LLC

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(561) 391-4477

E-mail: alexandra@Boca-Wealth.com

December 1, 2024

This Brochure Supplement provides information about Alexandra B. Demosthenes that supplements the Boca Wealth Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Arthur J. Canter, President, if you did not receive Boca Wealth Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Alexandra B. Demosthenes is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Alexandra is a Partner and a Senior Wealth Advisor for Boca Wealth Advisors, LLC. Her duties include holistic wealth management and financial planning for clients, operations, client service, portfolio management, portfolio research and marketing.

AREAS OF EXPERIENCE

- Financial Planning, including design and implementation
- Investment advisory services
- Income Tax Planning and compliance
- Retirement Plan design and administration
- Estate Tax Planning and compliance

EDUCATION

- BBA Accounting, Florida Atlantic University, 2009
- Master of Accounting, Florida Atlantic University, 2009

¹ To understand the requirements for professional designations please see the attachment following this section entitled "PROFESSIONAL DESIGNATIONS."

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 - Other Business Activities

Alexandra is a partner in an independent public accounting practice, Boca Wealth CPAs, LLC. In many relationships, clients of Boca Wealth Advisors, LLC employ Boca Wealth CPAs, LLC to perform accounting and tax services. In our opinion, this relationship enhances our abilities to manage client investment portfolios. There is no requirement for clients of Boca Wealth Advisors, LLC to employ Boca Wealth CPAs, LLC for any accounting or tax services, nor is the focus of Boca Wealth CPAs, LLC to use Boca Wealth Advisors, LLC to enhance its practice of public accounting

Item 5 - Additional Compensation

There is no information to be reported under this Item.

Item 6 - Supervision

Arthur J. Canter, President, works closely with on a day-by-day basis and is responsible for supervision of Alexandra B. Demosthenes and for overseeing all operations of the firm and can be reached at (561) 391-4477.

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MARK D. MICLEAN

Certified Public Accountant/Personal Financial Specialist ¹

Partner

Boca Wealth Advisors, LLC

1055 Gator Trail, West Palm Beach, FL 33409

(561) 655-0062

E-mail: mark@Boca-Wealth.com

December 1, 2024

This Brochure Supplement provides information about Mark D. Miclean that supplements the Boca Wealth Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Arthur J. Canter, President, if you did not receive Boca Wealth Advisors, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Mark D. Miclean is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mark is Vice President of Boca Wealth Advisors, LLC. His duties include client service, marketing and serving on the Investment Committee of Boca Wealth Advisors, LLC. Mark resides in West Palm Beach, Florida where he also operates an independent CPA practice.

AREAS OF EXPERIENCE

- Investment advisory services
- Income Tax Planning and compliance
- Retirement Plan design and administration
- Estate Tax Planning and compliance
- Financial Planning, including design and implementation

EDUCATION

- BBA Accounting, Florida Atlantic University, 1978

- MBA, Florida Atlantic University, 1987

Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 - Other Business Activities

Mark D. Miclean, CPA/PFS maintains and operates an independent public accounting practice. In many relationships, clients of Boca Wealth Advisors, LLC employ Mark D. Miclean to perform accounting and tax services. In our opinion, this relationship enhances our abilities to manage client investment portfolios. There is no requirement for clients of Boca Wealth Advisors, LLC to employ Mark D. Miclean for any accounting or tax services, nor is the focus of Mark D. Miclean to use Boca Wealth Advisors, LLC to enhance his practice of public accounting.

Item 5 - Additional Compensation

There is no information to be reported under this Item.

Item 6 - Supervision

As Vice President, Mark D. Miclean, has the authority to undertake any and all activities on behalf of clients. As a practical matter, since all firm activities are handled through the main office Arthur J. Canter, President, is responsible for overseeing all operations of the firm and can be reached at (561) 391-4477.

PROFESSIONAL DESIGNATIONS

Minimum Requirements for Each Designation

CFP® - Certified Financial Planner™

Issued by: Certified Financial Planner Board of Standards, Inc.

Prerequisites/Experience Required:

Education Requirements: A bachelor's degree (or higher) from an accredited college or university.

Candidate must complete a CFP-board registered program, or hold one of the following:

- CPA
- ChFC
- Chartered Life Underwriter (CLU)
- CFA
- Ph.D. in business or economics
- Doctor of Business Administration
- Attorney's License

Examination Type: CFP Certification Examination

Work Experience: 6,000 hours of professional experience related to personal financial planning.

Continuing Education: 30 hours every 2 years

CPA – Certified Public Accountant

Issued by: The State of Florida Board of Accountancy

Prerequisites/Experience Required:

Educational Requirements: 150 semester hours or 225 quarter hours total including 30 semester hours or 45 quarter hours of upper division accounting classes and 36 semester hours or 54 quarter hours of upper division general business classes.

Examination Type: Pass a comprehensive 4-part exam.

Work Experience: One year of work experience under the supervision of a licensed CPA.

Continuing Education Requirements: 80 hours of continuing professional education every two years.

PFS – Personal Financial Specialist

Issued by: The American Institute of Certified Public Accountants

Prerequisites/Experience Required:

Prerequisite: Must hold a valid and unrevoked CPA license issued by a legally constituted state authority.

Education Requirements: A minimum of 75 hours of personal financial planning education.

Examination Type: Pass one of the following Personal Financial Planning related exams:

- Personal Financial Specialist Exam
- Certified Financial Planner Exam
- Chartered Financial Consultant Exam

Work Experience: 3,000 hours of personal financial planning related experience within 5 years.

Continuing Education: 60 hours of personal financial planning education every 3 years.

Additional Requirements: PFS holders, as members of the American Institute of Certified Public Accountants (AICPA), are required to follow a rigorous *Code Of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

A PFS credential holder is also required to adhere to the *Statement on Standards in Personal Financial Planning Services*, when providing financial planning services.